

College of Continuing Education

The University of Oklahoma

Advanced Programs

Course Title: Regulatory Policy

Course Number: PSC 5392-101

Course Description: This course will examine the political and policy environment of federal regulation. It will begin by a consideration of the nature of the federal rulemaking process, drawing on Cornelius M. Kerwin, *Rulemaking*. Next, we will consider the evolution of federal regulation, focusing in particular on the dramatic changes in the social and political environment of the regulatory process during the 1960s and 1970s (the era of “the new social regulation”) and the reaction against it that began under the administration of Ronald Reagan. Finally, we will consider three case studies in regulation. One is taken from the Food and Drug Administration’s efforts to regulate tobacco. Two are taken from the Environmental Protection Agency: the use of negotiated regulation in the setting of woodstove new source performance standards; and the controversy over the Superfund clean up in New Bedford Harbor, Massachusetts. The woodstove case study can be set up as a simulation and we may try to use it in that way.

Class Dates, Location, and Hours: May 17-22, 2003 - Quantico, Virginia. See Site Manager for classroom location. Class Hours: Sat-Sun 8:30 a.m.-5:00 p.m.; Mon-Thu 5:15-8:30 p.m.

Last Day to Enroll or Drop without Penalty: April 17, 2003

Site Manager: Waletta (Cookie) Dunn. Phone: 703-630-9163; Fax: 703-630-9713; e-mail: apquantico@ou.edu.

Course Professor: Ronald M. Peters, Ph.D.
Mailing Address: Dept. of Political Science
Telephone Number: DAHT 205
E-mail Address: University of Oklahoma
Fax Number: Norman, OK 73019
(405) 325-6372
rpeters@ou.edu
(405) 325-6419

Professor availability: The professor will be available via e-mail to students before and after the class sessions. On-site office hours are half an hour before and after each class session, or by appointment.

Textbook(s) and Instructional Materials:

Student materials are available at the Follett/AP Bookstore at <http://www.oklahomaunion.bkstr.com>. Orders may also be placed by telephone at 866-369-9713 (toll free in the U.S.) or 405-325-5960 (outside the U.S.). E-mail orders may be sent to oklahomaunion@bkstr.com. Representatives are available from 8 a.m. to 6 p.m. CST Monday through Friday. Faxed orders may be placed 24 hours a day to 866-223-5607 (toll free in the U.S.) or 405-325-1557 (outside the U.S.).

01 Kerwin, Cornelius M. *Rulemaking: How Government Agencies Write Law and Make Policy*, 2nd ed. CQ Press, 1998. ISBN 1568024185. (Text prices are available online.)

02 Harris, Richard A., and Sidney M. Milkis. *The Politics of Regulatory Change: A Tale of Two Agencies*, 2nd ed. Oxford Univ. Press, Inc., 1996. ISBN 0195081919. (Text prices are available online.)

03 *Taking on Big Tobacco: David Kessler and the FDA*, Abridged ed. Harvard University JFK School of Gov't. #1349.3. (Text prices are available online.)

04 Materials Available on OU Bizzell Library Electronic Reserve:

Procedures for Accessing Electronic Reserve:

1. Access the OU Electronic Reserve Page: <http://libraries.ou.edu/eresources/reserves/>
2. Enter OU NetID (4+4)
3. Select course and access material

If the student needs additional assistance, contact the Site Manager.

Items on Electronic Reserve Via the OU Library:

The Electronic Hallway. Woodstove New Source Performance Standards. The Electronic Hallway. New Bedford Harbor (A); New Bedford Harbor (B); New Bedford Harbor(C); New Bedford Harbor (Epilogue). [Note: these are all separate files.]

05 Selected readings are available on the course Blackboard web page.

1. Materials posted on the OU Blackboard System:
2. Access the OU Blackboard Page at: <http://blackboard.ou.edu/>
3. Enter OU NetID (4+4) and password

You will be directed to the course materials for the courses in which you are enrolled.

If the student needs additional assistance, contact the Site Manager.

A Note on Blackboard. I have requested that this course be set up on Blackboard. If it is, then Electronic Reserve documents will also be available at the Blackboard site.

Note: The Follett AP Bookstore is the Advanced Programs contractual textbook provider. Should text changes become necessary after publication of the course syllabus, Advanced Programs will facilitate text returns/refunds only for texts purchased through the Follett/AP Bookstore.

Course Objectives:

1. To familiarize students with the nature and evolution of the regulatory process.
2. To examine important regulatory agencies in some depth.
3. To understand the “politics of regulation.”
4. To consider case studies in making regulatory policy.

Course Requirements: The principal written requirement for the course is a take-home final examination that will be distributed on the last day of class and will be due approximately one week later. It will be submitted electronically.

Grading: This is a letter-graded course: A, B, C, D, or F. Your course grade will be based on your final exam and your participation in class discussions during the week.

Companion Directed Reading: PSC 5391-501

Textbook(s) and Instructional Materials:

06 Kessler, David. *Question of Intent: A Great American Battle with a Deadly Industry*. Public Affairs, LLC, 2002. ISBN 1586481215. **(Text prices are available online.)**

Course Objectives: The Companion Directed Reading (CDR) course is offered to enhance and reinforce the objectives of PSC 5392.

Assignment: You are asked to write a ten-page, double-spaced, typewritten paper using 12-pt. font and one-inch margins all around. This paper should NOT be a book review. Instead, it should offer an analysis of the FDA's efforts to regulate tobacco. Drawing on the JFK case study and the two textbooks required for the course, apply what you have learned about the regulatory process to an assessment of David Kessler's efforts to bring tobacco under the regulatory authority of the FDA. How does this case illustrate the regulatory process? What are its unique features? Remember, **DO NOT SUMMARIZE THE BOOK**. Your paper should develop a theme explaining how this case illustrates aspects of the regulatory process.

Your paper is due by the date indicated below. It will be submitted electronically either via Blackboard's electronic drop box or else e-mailed directly to me at: rpeters@ou.edu. This will be discussed in class. In preparing this paper, please use the following file name: YOURLASTNAMEQUANTICOCDR.

Grading: This CDR is graded Satisfactory or Unsatisfactory (S/U). A grade of S is equivalent to B or better.

CDR Due Date: June 12, 2003

NOTICE: Failure to meet this CDR Due Date could result in a grade of U or I (Incomplete), depending on the professor's guidelines, and may adversely impact Tuition Assistance and/or Financial Aid.

ATTENDANCE/GRADE POLICY NOTICE

Students are required to attend all classes and submit coursework according to the assigned deadlines. If you anticipate any deviations from the attendance or coursework submission requirements, you must coordinate with the professor for approval in advance of the on-site seminar. Professors *are not required* to allow missed class time or to accept late coursework. Failure to meet attendance guidelines or coordinate deviations with the professor may result in a lower grade being assigned. Failure to meet the coursework submission requirements or coordinate deviations with the professor may result in a grade of I or U being assigned, or the work may *not* be accepted. Professors *are not required* to allow students a year to make up grades of "Incomplete." Professors may adopt guidelines that are more restrictive than the University maximum of one year. Professors understand the workload and associated issues of students in a military population, and they will do everything they can to help you meet your educational goals. You must understand, however, that the *guidelines and requirements are at the professor's discretion*. This policy applies to both the 2-hour course and the 1-hour Companion Directed Reading.

Government regulations for completing coursework frequently differ from university requirements. Students are responsible for meeting the guidelines of Tuition Assistance and Veterans Assistance. See the education counselor at your local education center for a complete description of your TA or VA requirements.

ACADEMIC HONESTY

Honesty is a fundamental precept in all academic activities and ... [you] have a special obligation to observe the highest standards of honesty. Academic misconduct in any form is inimical to the purposes and functions of the University and is therefore unacceptable and is rigorously proscribed. Academic misconduct includes:

- a) cheating (using unauthorized materials, information, or study aids in any academic exercise), plagiarism, falsification of records, unauthorized possession of examinations, intimidation, and any and all other actions that may improperly affect the evaluation of a student's academic performance or achievement;
- b) assisting others in any such act;
- c) or attempting to engage in such acts.

All acts of academic misconduct will be reported and adjudicated as prescribed by the [Student Code](#) of the University of Oklahoma.

ACCOMMODATION STATEMENT

The [College of Continuing Education](#) [Advanced Programs] is committed to making its activities as accessible as possible. The College and the University provide a range of special services for those with disabilities. If you anticipate a need for some of these services, please contact your OU Site Manager.

Advanced Programs policy is to order books in paperback if available. Courses, dates, and professors are subject to change. Please check with your OU Site Manager. Students should retain a copy of any assignments that are mailed to the professor for the course.

RONALD M. PETERS, Jr., Ph.D.

EDUCATION

1974 Ph.D. in Political Science, Indiana University

CURRENT POSITIONS

Advanced Programs Professor since 1975 Regent's Professor, Carl Albert Congressional Research and Studies Center

FREQUENTLY TAUGHT ADVANCED PROGRAMS COURSES

PSC 5172 Bureaucracy and Politics
PSC 5222 American Foreign Policy
PSC 5262 Congress in the Political System
PSC 5222 American Foreign Policy
PSC 5392 Regulatory Policy
PSC 5452 The Presidency
PSC 6172 Leading Public Organizations

MAJOR AREAS OF TEACHING AND RESEARCH INTEREST

Political Theory, American Government, Congress and Congressional Leadership

REPRESENTATIVE PUBLICATIONS AND PRESENTATIONS

- “The Demise of Newt Gingrich as a Transformational Leader: Does Organizational Leadership Theory Apply to Legislative Leaders?” *Organizational Dynamics*, January 2002.
- “Southern Party Leaders in the Postreform House,” in John Kuzenski, Larry Moreland, and Robert Steed, eds., *Eye of the Storm: The American South in the Postreform House*, (Westport, CONN: Praeger, 2001).
- “Institutional Context and Leadership Style: The Case of Newt Gingrich,” in Nicol Rae and Colton Campbell, eds., *New Majority or Old Minority: The Impact of the Republicans on Congress*, (Lanham, MD: Roman and Littlefield, 1999).
- With Vega, A. (1996, Spring). Principal-agent theories of party leadership under preference homogeneity: The case of Simpson-Mazzoli. *Congress & the Presidency*.
- Peters, R. M. (1994, revised paperback edition, 1995). *The Speaker: Leadership in the U.S. House of Representatives*. Congressional Quarterly, Inc. Washington, D.C. (editor and author of two chapters).
- Peters, R. M. (1992). *The Next Generation: Dialogue Between Leaders and Students*. Norman, OK: University of Oklahoma Press.
- With Hertzke, A. D. (Eds.). (1991). *The Atomistic Congress: An Interpretation of Congressional Change*. Armonk, NY: M.E. Sharpe Press.
- Peters, R. M. (1990, 1997). *The American Speakership: The Office in Historical Perspective*. Baltimore: Johns Hopkins University Press.
- Peters, R. M. (1978). *The Massachusetts Constitution of 1780: A Social Compact*. Amherst: University of Massachusetts Press.

Publications have appeared in *The Political Science Reviewer*, *The Encyclopedia of American Political Parties*, *Journal of Legislative Studies* and *The Encyclopedia of the United States Congress*.

MAJOR PROFESSIONAL AFFILIATIONS

American Political Science Association
American Society for Public Administration
Southwest Political Science Association
Oklahoma Political Science Association

REPRESENTATIVE HONORS AND AWARDS RECEIVED

Regents' Teaching Award in 1994
Named Outstanding Oklahoma Political Scientist for 1995